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 31054 U.S. PTO

## UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

 Attorney Docket No.  
CALMP016

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, YUNG-CHEN L. entitled DIGITAL AUTOMATIC GAIN CONTROL OF A MULTILEVEL OPTICAL DISC READ SIGNAL, for a(n):

- (X) Original Patent Application.
- ( ) Continuing Application (prior application not abandoned):  
 ( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
 of prior Application No. \_\_\_\_\_, filed \_\_\_\_\_.
- ( ) Please add after the title of the application "This is a  
 ( ) Continuation ( ) Divisional (X) Continuation-in-part (CIP)  
 of Application No. 09/253,808, filed February 18, 1999, which is hereby incorporated by reference."
- ( ) This application claims the benefit of U.S. Provisional Application

## Enclosed are:

- (X) Specification; 27 Total Pages. (X) Drawing(s); 14 Total Sheets.
- ( ) Oath or Declaration:  
 ( ) A Newly Executed Combined Declaration and Power of Attorney:  
 ( ) Signed. ( ) Unsigned. ( ) Partially Signed.
- ( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
 ( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
- ( ) Power of Attorney. (X) Return Receipt Postcard.
- ( ) Associate Power of Attorney. ( ) A Check in the amount of \$ \_\_\_\_\_ for the Filing Fee.
- ( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.
- ( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
- ( ) A Certified Copy of Priority Documents (if foreign priority is claimed).
- ( ) Statement(s) of Status as a Small Entity.
- ( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
- (X) Request and Certification.
- ( ) Other: \_\_\_\_\_

PLEASE DO NOT CHARGE THE FILING FEE AT THIS TIME.

Respectfully submitted,

By: \_\_\_\_\_

Lee Van Pelt, Reg. No. 38,352

Date: April 6, 2001

Correspondence Address:

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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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 Washington, D.C. 20231

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 09/628311  
 04/06/01

09628311-040601

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                 |   |                              |
|---------------------------------|---|------------------------------|
| In re the application of:       | ) | Attorney Docket No. CALMP016 |
| YUNG-CHENG LO, et al.           | ) |                              |
|                                 | ) | Examiner: Unassigned         |
|                                 | ) |                              |
| Application No: unassigned      | ) | Art Unit: Unassigned         |
|                                 | ) |                              |
| Filed: April 6, 2001            | ) |                              |
|                                 | ) |                              |
| Title: DIGITAL AUTOMATIC GAIN   | ) |                              |
| CONTROL OF A MULTILEVEL OPTICAL | ) |                              |
| DISC READ SIGNAL                | ) |                              |
| _____                           | ) |                              |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on April 06, 2001.

Signed: \_\_\_\_\_

SECRETARY

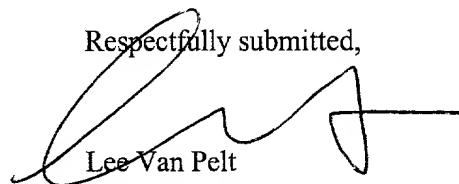
**REQUEST AND CERTIFICATION**  
**UNDER 35 U.S.C. 122(b)(2)(B)(i)**

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

  
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Attorney Docket No.: CALMP016